

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kazuhito NARITA, et al.

SERIAL NO: New Application GAU: Unassigned FILED: Herewith EXAMINER: Unassigned

FOR: SEMICONDUCTOR DEVICE AND METHOD OF MANUFACTURING THE SAME

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

# REFERENCES

The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references were cited or provided in parent application serial no. 09/892,487 filed June 28, 2001, as were either statements of relevancy or any readily available English translations of pertinent portions of any non-English
language references.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### **CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

MAJER & NEUSTADT, P.G.

SPIVAK, McCLELLAND,

Eckhard H. Kuesters Registration No. 28,870

Customer Number

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OBLON SPIVAK, McCLELLAND, MAJER & NEUSTADT, P.C.

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Form PTO 1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE			ATTY DOCKET NO. SERIAL NO.				
			242758US2S DIV		New Application		
			APPLICANT				
REFER	ENCES CITED BY APP	LICANT	Kazuhito NARITA, et al.				
			FILING DATE		GROUP		
			Herewith		Unassig	ned	
			U.S. PATENT DOCUMENTS				
	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
AA	6,232,635	5-2001	WANG et al				
AB							
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	DOCUMENT NUMBER	DATE	COUNTRY		YE	TRANSLATION S NO X	
AO	2000-232155	08/22/00	Japan (with English concise explanation)			^	
AP	8-227935	09/03/96	Japan (with corr. KR 0174314)			X	
AQ	0174314	11/04/98	Korea			X	
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
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